



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Harry A. ATWATER, Jr. et al.

Title:

WAFER BONDED VIRTUAL SUBSTRATE AND METHOD FOR FORMING THE SAME

Appl. No.:

10/761,918

Filing Date:

1/20/2004

Examiner:

Duy-Vu DEO

Art Unit:

1765

Conf. No.:

4108

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(iii) to submit copies of cited pending applications which are stored in the USPTO's Image File Wrapper (IFW) system. Applications filed on or after June 30, 2003, and international applications that have entered the national stage on or after June 30, 2003, have been or are being scanned into the IFW system. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to OG Notice dated October 19, 2004, Waiver of the Copy Requirement in 37 CFR 1.98 for Cited Pending U.S. Patent 08/14/2006 HALI11 00000105 10761918 180.00 OP Applications.

01 FC:1806

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English. Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

A credit card payment form is enclosed in accordance with 37 CFR §1.17(p) to cover the fee associated with an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: Facsimile:

Date

(202) 945-6090

(202) 672-5399

Leon Radomsky

Attorney for Applicant Registration No. 43,445

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Retartion Act of 1998 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO Complete if Known 10/761,918 INFORMATION DISCLOSURE **Application Number** 1/20/2004 STATEMENT BY APPLICANT **Filing Date** Harry A. Jr. ATWATER **First Named Inventor** Date Submitted: August 11, 2006 1765 Group Art Unit Duy-Vu DEO (use as many sheets as necessary) **Examiner Name** 047071-0107 **Attorney Docket Number** of Sheet

				U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No.1	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines Where Relevant
		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	C1	2005-0026432	A1	ATWATER, JR. et al.	02/03/2005	
	C2	5,315,793		PETERSON et al.	05/31/1994	
	C3	5,395,788		ABE et al.	03/07/1995	
	C4	5,882,987	i	SRIKRISHNAN et al.	03/16/1999	
	C5	5,910,699		NAMBA et al.	06/08/1999	
	C6	6,221,738		SAKAGUCHI et al.	04/24/2001	
	C7	6,489,241		THILDERKVIST et al.	12/03/2002	

			UNPUBLIS	HED U.S. PATENT APPLICATIO	N DOCUMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Application Document		Name of Patentee or Applicant of Cited Document	Filing Date of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Serial Number Kind Code ² (if known)	Cited Document MM-DD-YYYY			
	C8	11/430,160		ATWATER, JR. et al.	5/9/2006	

	_			FC	REIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No. ¹	Fore Office ³	eign Patent C Number ⁴		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	 T ⁴
								+
		-						

Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	τ [¢]
		tem (hook, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s),

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.